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February 1, 2018

#### Via Electronic Mail and Hand Delivery

Luly E. Massaro, Commission Clerk Rhode Island Public Utilities Commission 89 Jefferson Boulevard Warwick, Rhode Island 02888

Re: Docket 4780 - The Narragansett Electric Company d/b/a National Grid's Proposed Power Sector Transformation (PST) Vision and Implementation Plan

Dear Ms. Massaro:

Enclosed for filing in the above-referenced matter are ten (10) copies of The Company's Objection to Motion to Intervene by New Energy Rhode Island.

Thank you for your attention to this matter.

Very truly yours,

Adam M. Ramos

AMR:cw Enclosures

cc: Docket No. 4780 Service List (electronically only)

57450288 (57972.174868)

# Docket No. 4780 - National Grid – Power Sector Transformation Filing Service list updated 1/24/2018

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### STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS BEFORE THE PUBLIC UTILITIES COMMISSION

IN RE: The Narragansett Electric Company d/b/a National )
Grid's Proposed Power Sector Transformation )
(PST) Vision and Implementation Plan )

Docket No. 4780

## NATIONAL GRID'S OBJECTION TO NEW ENERGY RHODE ISLAND'S MOTION TO INTERVENE

#### I. <u>INTRODUCTION</u>

The Company<sup>1</sup> hereby objects to the New Energy Rhode Island's (NERI) Motion to Intervene (the Motion). NERI does not meet the criteria prescribed by Rule 1.13 of the Rhode Island Public Utilities Commission (PUC) Rules of Practice and Procedure to intervene in this matter for at least three reasons. First, NERI lacks organizational standing as a matter of law, and, as such, cannot participate in this proceeding on behalf of its members. Second, NERI does not have an interest in this matter that is not adequately represented by existing parties. Third, NERI cannot demonstrate that its participation would otherwise be in the public interest. Moreover, the Motion demonstrates that NERI seeks to expand the scope of this proceeding beyond its intended bounds. Thus, alternatively, and at a minimum, any level of participation the PUC affords NERI in this matter should be subject to prescribed limitations to avoid needless expenditure of resources by the PUC and other parties.

### II. <u>RELEVANT FACTS</u>

The PUC opened this separate docket to consider the Company's Power Sector

Transformation Plan after it was included originally as part of Docket 4770 in connection with
the Company's request for an increase in the Company's gas and electric distribution base rates.

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<sup>&</sup>lt;sup>1</sup> The Narragansett Electric Company d/b/a National Grid (the Company).

When the PUC decided to separate the Power Sector Transformation Plan into this separate docket, it did so to permit the PUC to review and assess the Company's Power Sector Transformation proposals separate and apart from the rate case docket. The purpose of this docket is for the PUC and the other parties to review and analyze the Company's Power Sector Transformation proposals. It is not a far-reaching stakeholder process intended for multiple parties to make alternate proposals as to how Rhode Island's power sector should be transformed.

NERI filed the Motion on January 22, 2018. In the Motion, NERI does not describe what it is as an organization or any of its organizational purposes. Rather, NERI merely indicates that it is comprised of the following "member participants":

- Partnership for Rhode Island Streetlight Management
- The Washington County Regional Planning Council
- Green Energy Development
- Heartwood Group, Inc.
- Newport Solar
- Clean Economy Development, LLC
- ISM Solar Development, LLC; and
- The Rhode Island League of Cities and Towns.

NERI has included attached letters of representation authorizing Attorney Seth Handy to represent each of the member participants, stating in vague terms what each constituent member is. These letters do not, however: (1) state that any of them are members of NERI; (2) provide any detail on what the particular entity's interest is regarding the issues in this docket or (3) explain how any particular entity's interest is aligned with any of the other identified NERI

members. Additionally, each of these letters includes a statement that the respective entities "appreciate the opportunity to participate in this very important stakeholder process." The Motion describes NERI's members as either developers, owners, or entities with financial interests in Rhode Island energy projects. Further, NERI makes the vague statement that additional members may join later in the proceeding, without identifying these potential additional members in any detail. NERI is not organized with the Rhode Island Secretary of State's office as any type of entity. There is no evidence of NERI's purpose.

NERI has sought to intervene in other proceedings, with mixed results. Recently, in Docket 4763, NERI sought to intervene, and the PUC denied that motion. In connection with that motion, NERI asserted that its members were Newport Solar, Heartwood Group, Inc., and Providence Energy, LLC. Thus, NERI's membership apparently has changed since that proceeding. In Docket 4600, in which NERI participated as a stakeholder pursuant to an invitation for stakeholder participation, NERI asserted that it consisted of Clean Economy Development, Green Development, Heartwood Group, ISM Solar, NPTRE – Newport Renewables, and Newport Solar. Thus, the profile of NERI has changed since that proceeding as well.<sup>2</sup> The Company is not aware of any previous docket in which NERI asserted that its members included the Rhode Island League of Cities and Towns, the Washington County Regional Planning Council, or the Partnership for Rhode Island Streetlight Management.

In the Motion, NERI notes that it "actively participated in Docket 4600 and the Power Sector Transformation stakeholder process." Through the Motion, NERI asserts reasons that it

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<sup>&</sup>lt;sup>2</sup> NERI also moved to intervene in Docket No. 4774. In that docket, NERI identified its members as Newport Solar, Heartwood Group, Inc., Providence Energy, LLC and Green Development, LLC – a different constituent mix than in any of the other dockets in which NERI has sought intervention. Although the Company did not oppose NERI's intervention in Docket No. 4774, that docket is distinguishable from the present docket, in that in Docket No. 4774, the identified members all were distributed generation developers and the docket was for consideration of the renewable energy growth program, which specifically is geared toward that industry. The fact that the Company did not oppose intervention in a particular docket does not impact its right to oppose intervention by the same entity in subsequent dockets.

believes the Company's Power Sector Transformation proposal is flawed, but at no point does NERI explain what particular interest it (or any of its purported constituent members) has in the docket. Nor does NERI explain why any interest it may have is not adequately represented by the existing parties. Rather, NERI suggests that it seeks to expand the scope of this proceeding to include alternate proposals from NERI. Further, it positions itself, not as representing its own interests, but rather as a purported authority that will add value by providing "unique expert testimony and advocacy" apparently in support of some as-yet-undescribed different proposals for power sector transformation.

#### III. LEGAL STANDARD

Rule 1.13 of the PUC Rules of Practice and Procedure establishes the standards for a person to intervene as a party in a proceeding before the PUC. There are three means by which a person can establish intervener status: (1) a statutory right; (2) an affected interest not adequately represented by existing parties; and (3) furtherance of the public interest.

Additionally, Rule 1.13 provides guidance as to the types of persons who may have an interest – consumers served by the filing party and holders of securities of the filing party.

Further, for any party to participate in a proceeding before the PUC, that party must have standing. For a membership organization to have standing, it must satisfy three criteria: "(1) . . . the association's members . . . otherwise have standing to sue in their own right, (2) . . . the interest[s] the association seeks to protect are germane to the organization's purpose, and (3) . . . neither the claim asserted nor the relief requested requires the participation of individual members in the lawsuit." In Re: Petition Filing by New England Cable Television Ass'n for A Show Cause Order & Declaratory Ruling, D-01-14, 2001 WL 37114698, at \*1 (Dec. 12, 2001) (citing Hunt v. Washington State Apple Advertising Commission, 432 U.S. 333 (1977)).

The PUC has reiterated that it will be cautious in granting intervener status and will work to ensure that a movant actually meets one of the three criteria established in PUC Rule 1.13(b). See Narragansett Electric Company, Docket No. 3739, Order No. 18794, at 17 (December 27, 2006) (citing, In Re: Hi-Speed Ferry, LLC, 746 A.2d 1240, 1245-1246 (R.I. 2000)). Moreover, if a person is permitted to intervene, that intervener ordinarily shall not be permitted to broaden the issues in the docket absent a showing that such broadening is both in the public interest and will not result in undue hardship. Rule 1.13(f) (addressing late interveners).

#### IV. ARGUMENT

#### A. NERI Lacks Organization Standing to Intervene in this Docket

The PUC has applied and adopted the three-part test set forth in Hunt to determine whether a membership organization like NERI has standing to participate in matters before it.

See In Re: Petition Filing by New England Cable Television Ass'n for A Show Cause Order & Declaratory Ruling, D-01-14, 2001 WL 37114698, at \*1. In Docket No. 3739, the PUC (although not applying this standard directly) denied the petition of a membership group to intervene, in part, because its membership was not made up of persons with unique and unified interests that were not otherwise represented by other groups that advocate for specific interests unique to those groups. See PUC Order No. 18794 at 14-16.

Here, NERI has not, and cannot, meet the criteria to establish organizational standing. As demonstrated by the Motion, NERI is not established around any unifying principle that demonstrates a united interest for which it seeks to advocate in this proceeding.

First, there is no basis in the Motion to establish that each of NERI's members would have standing independently. The limited descriptions of each member in the representation

letters, which are attached to the Motion, do not state an interest in this docket. Further, the Motion states only the conclusion that NERI has "advocacy interests." It does not identify the interests of any of its members or how they are impacted by this docket. Instead, the Motion merely states what NERI plans to present in the docket. For any person to have an interest that warrants intervention, that person must show either: (1) a unique interest that is not adequately represented by the existing parties, (2) a statutory right, or (3) a compelling public interest. The Motion simply contains no information that satisfies that standard for any of the constituent members of NERI.

Second, NERI has not established and cannot establish that its representation in this proceeding is "germane to the organization's purposes." Importantly, NERI has no specific articulated organizational purpose. Based on the Motion, one is left to guess as to what the unifying principles are for NERI and the reasons for which it exists. NERI is made up of a disparate group of purported members that seem to move in and out of membership without warning. It is difficult to imagine how the interests of the members identified in the Motion are aligned and unified. For example, the Rhode Island League of Cities and Towns is an organization of municipalities, whereas some of the other entities are developers of renewable energy projects. There is no foundation from which to conclude that NERI's members share a unified interest. As such, there is no basis to identify an organizational purpose that NERI would be pursuing if it intervened in this matter.

Third, the Motion does not provide sufficient information to determine whether there is a need to have individual NERI members participate in this proceeding. Without any knowledge as to the interests that NERI seeks to protect through intervention, it is not possible to know whether any one of the members is needed to participate. However, the apparent diverging

interests of members like the Rhode Island League of Cities and Towns and the energy development members strongly indicate that it would have been more appropriate and proper for each such member to seek to participate in this docket individually, as opposed to collectively, and, as such, NERI also fails to meet this third criterion for organizational standing.

Thus, although NERI and its constituent members may have a sincere interest in the outcome of this proceeding, NERI's failure to satisfy the elements of organizational standing defeats the Motion. See Schlesinger v. Reservists Comm. to Stop the War, 418 U.S. 208, 225 (1974) ("We have no doubt about the sincerity of respondents' stated objectives and the depth of their commitment to them. But the essence of standing is not a question of motivation but of possession of the requisite . . . interest that is, or is threatened to be, injured by the unconstitutional conduct."). See also Charleston v. United States, 696 F. Supp. 800, 813 (D.R.I. 1988) ("The Supreme Court has repeatedly 'refrained from adjudicating abstract questions of wide public significance which amount to generalized grievances, pervasively shared and most appropriately addressed in the representative branches.") (quoting Valley Forge Christian College v. Americans United For Separation of Church and States, Inc., 454 U.S. 464 (1982)).

#### B. NERI's Motion Does Not Satisfy Rule 1.13

Assuming, *arguendo*, that NERI could have standing to advocate on behalf of its members in this proceeding, NERI's motion nevertheless falls short of establishing a right to do so under Rule 1.13. Simply put, NERI has no: (a) statutory right to intervene; (b) articulated interest that needs to be represented in this docket; nor (c) public interest reason for intervening.

#### 1. NERI has no statutory right to intervene

NERI does not even contend that it has a statutory right to intervene. There is no statute that would provide such a right. Thus, Rule 1.13(a) cannot be the basis for NERI's attempted intervention.

# 2. NERI has not articulated any interest that is affected by this proceeding

The Motion states only conclusorily that "NERI has advocacy interests that will not be adequately represented by other parties." There is no explanation of what those interests are, or why any such interests will not adequately be represented by other parties. The bulk of NERI's Motion articulates what NERI intends to do as an intervener – not the interests that it is seeking to protect by doing any of those things. For example, NERI states that it will "advocate for a much better application and integration of the benefit cost analysis unanimously supported in Docket 4600." NERI does not, however, explain what interest of its members is furthered by doing so, or why the advocacy of other parties in the proceedings is insufficient. The same is true of the rest of NERI's contentions, such as "addressing the interaction of return on equity and decoupling with the implementation of performance based incentives." Simply put, NERI is positioning itself to make alternative proposals – not to make sure that the interests of its constituent members are protected in the course of assessing the Company's proposals. NERI's position in the Motion fashions the organization as something of an independent expert - without any basis for doing so. It does not identify particular interests that are in need of protection for the benefit of its members. Further, to the extent that any of NERI's members have interests in this docket as customers, the Rhode Island Division of Public Utilities and Carriers (Division) is well-positioned to protect the interests of customers, and NERI has provided no basis to

conclude that its membership constitutes a unique subset of customers with interests that diverge from customers generally. See PUC Order No. 18794 at 14-16

Moreover, NERI's previous participation in Docket 4600 is irrelevant. First, that proceeding was a stakeholder process, not a contested proceeding that will result in the PUC's adjudication of a proposal from the Company. There is a fundamental difference between a docket in which varied stakeholders are invited to participate to ensure maximum public input, and a contested docket, such as this one. Second, NERI's membership has changed since Docket 4600, and there is now an apparent disparity of interests within the membership that did not necessarily exist when NERI participated in Docket 4600. Third, NERI's participation in Docket 4600 was not as an intervener, but as an invited stakeholder. Not everyone who has a stakeholder interest in a proceeding has an interest that warrants intervention in a contested proceeding. Denying NERI party status here does not preclude its participation altogether. NERI still will be able to provide public comment, and the Company remains committed to working with stakeholders on the development and implementation of its Power Sector Transformation Plan going forward.

#### 3. The Public Interest does not require NERI's intervention

Although NERI states that it will advocate for positions that are "consistent with the public interest as put forth in many Rhode Island statutes and public policies," NERI offers no specific support for the specific public interest that its participation will serve, or the specific Rhode Island statutes to which it refers. Instead, NERI cites to interests such as electric supply diversification, energy security and resilience, stable and reduced energy costs, job creation, and environmental benefit." Even if these are in the public interest, the Division, as the consumer advocate, can adequately represent the public interests in this proceeding. Further, NERI's

Motion makes it clear that it is seeking to expand this proceeding beyond its appropriate bounds by introducing alternative proposals to what the Company has developed. Adding NERI as a party, thus, is likely to undermine the administrative efficiency of the proceeding.

#### C. At a Minimum, NERI's Participation Should be Limited

As discussed herein, NERI's Motion demonstrates that it intends to introduce additional issues in this proceeding beyond its intended scope. NERI proposes, among other things, to ask the PUC to vet alternative mechanisms to what the Company has proposed and to advocate for a complete overhaul of existing ratemaking mechanisms. Such actions would impose an administrative burden and increase the costs associated with this matter without justification. Rule 1.13(f) specifically prohibits a movant from broadening the issues in a docket where it would cause undue prejudice and hardship. NERI's participation in this docket would do just that. Thus, at a minimum, any order allowing NERI to intervene should place appropriate boundary lines around the scope of its participation to avoid expansion of the docket and limit NERI to advocating on behalf of any specific interest the PUC finds that NERI's constituent members collectively have.

#### V. <u>CONCLUSION</u>

For the reasons set forth herein, the Company respectfully requests that the PUC deny NERI's motion to intervene, or, alternatively, impose limitations on the scope of NERI's participation as a party.

### Respectfully submitted,

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